



07/20/2004

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PATENT COUNSEL FAX

PAGE 03/11

Practitioner's Docket No. LAR 16499-1

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jan M. Smits, Russell A. Wincheski, JoAnne L. Ingram, Anthony N. Watkins,
and Jeffrey D. Jordan

Application No.: 10/730,188

Group No.: 2641

Filed: December 4, 2003

Examiner: Not Yet Assigned

For: Controlled Deposition and Alignment of Carbon Nanotubes

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

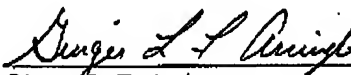
I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Nonprovisional Application - 2 pages
Completion of Filing Requirements - 2 pages (2 copies)
Declaration, Power of Attorney and Petition - 4 pages
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is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an
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Ginger L. F. Arrington

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Certificate of Mailing Under 37 C.F.R. § 1.8(a)—page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/730,188	12/04/2003	Jan M. Smits	LAR 16499-1

23351
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION
LANGLEY RESEARCH CENTER
3 LANGLEY BOULEVARD
MAIL STOP 212
HAMPTON, VA 236812199

CONFIRMATION NO. 2189

FORMALITIES LETTER

0000000012054229

Date Mailed: 03/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

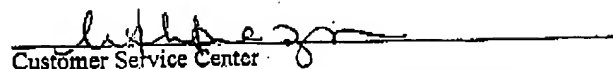
Total additional fee(s) required for this application is \$130 for a Large Entity

- **\$130 Late oath or declaration Surcharge.**

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A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Jan M. Smits, Russell A. Wincheski, JoAnne L. Ingram, Anthony N. Watkins,
and Jeffrey D. Jordan

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Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS
—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed March 9, 2004.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533)
is enclosed.

DECLARATION OR OATH

II. An unexecuted declaration or oath was filed. Enclosed is the original declaration or oath for this
application.

COMPLETION FEES

III.

I. Surcharge Fees	
Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. § 1.16(e))	\$130.00
Total Completion Fees	\$130.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a)
apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in
37 C.F.R. § 1.17(a)(1), for one month.

Fee	\$110.00
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TOTAL FEE DUE

V. The total fee due is:

Completion fee	\$130.00
Extension fee	\$110.00
Total Fee Due	\$240.00

PAYMENT OF FEES

VI. Authorization is hereby made to charge the amount of \$240.00 to Deposit Account No. 14-0116.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

MAY 19, 2004

Date

Kurt G. Hammerle

Kurt G. Hammerle
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NASA Langley Research Center
Mail Stop 212
Hampton, VA 23681-2199
757-864-2470
Customer No. 23351

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NASA CASE NO.: LAR 16499-1
FILING DATE: December 4, 2003
APPLICATION SERIAL NO.: 10/730,188
APPLICANT: Smits, et. al.
TITLE: Controlled Deposition and Alignment of Carbon Nanotubes

RECEIPT OF THE FOLLOWING PAPERS IS EVIDENCED HEREON BY THE OFFICIAL STAMP OF UNITED STATES PATENT AND TRADEMARK OFFICE.

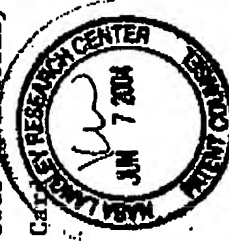
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NASA Langley Form 98 (May 2000)

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